

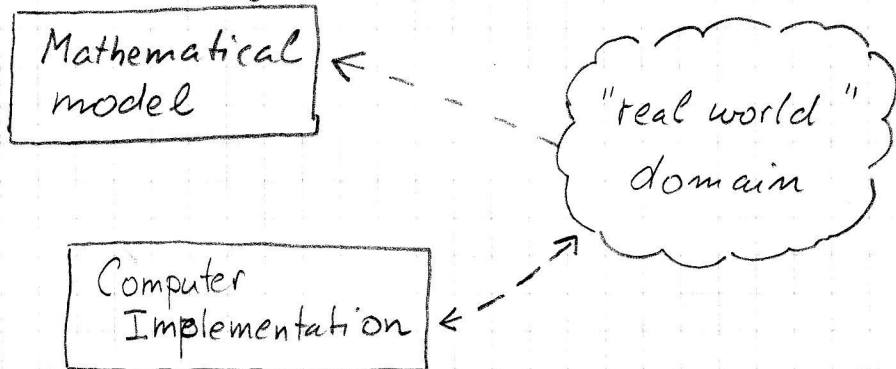
SPECIFICATIONS VIA REALIZABILITY

Andrej Bauer & Chris Stone

Dagstuhl, January 2006

① MOTIVATION

Typical situation in real number computing (or scientific computing in general):



Can we give a connection between the two? Goals:

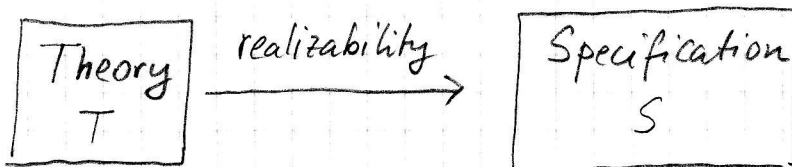
- 1) Such a connection may help develop data-structures & algorithms
- 2) May be a guarantee of correct design
- 3) May expose weaknesses and over-idealizations in the mathematical model.

One approach:

View "mathematical model" as a definition of one or more mathematical structures, given in the language of first-order logic.

View "computer implementation" as an implementation of one or more data structures (modules, classes, ...) in a given programming language.

Relate the two via Realizability:



An implementation may be checked against S

Note: We cannot expect that a mere description of a theory T will already give us an implementation satisfying S . This would amount to having a procedure which shows an arbitrary T to be consistent (or not).

An implementation could be extracted automatically from a formal construction of a model of T (cf. "program extraction from proofs"). However, this would typically not give one very efficient algorithms.

② REALIZABILITY IN 10 MINUTES

Realizability is a particular interpretation of logic in terms of a model of computation.

Originally invented by S.C. Kleene (1945).

Possible model of computation:

- | | | |
|-----------------------------|---|------------------------|
| 1) Turing Machines | → | Recursive Analysis |
| 2) Type II Turing Machines | → | Type II Effectivity |
| 3) Domain Theory | → | Domain Representations |
| 4) Programming Language P | | ⋮ |

Realizability opens the door to a systematic study of models of computable/effective analysis.

Today: use a programming language P to obtain specifications that actually compile on a computer.

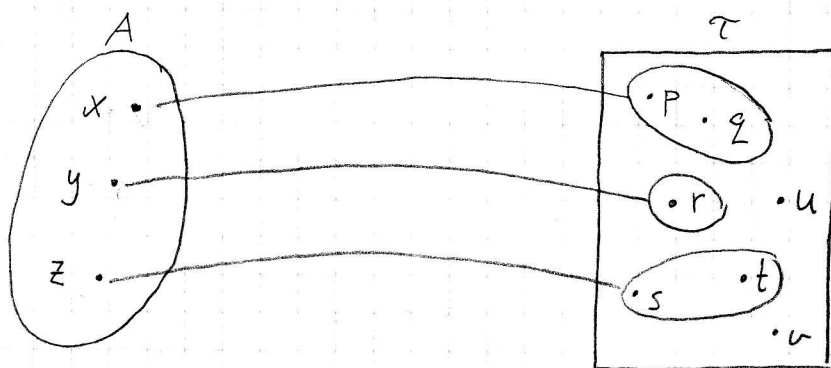
P must be a reasonable language (e.g. ML). It should support higher-order functions, but conceivably this is not an essential requirement.

The essential idea of realizability is implicitly known to every programmer:

In order to compute with the elements of a set, they must first be represented (realized) by suitable values of a suitable datatype.

A set (e.g. "real numbers")

Datatype $|A| = \tau$



x is realized by p (and also q)

Three equivalent views:

$p \Vdash_A x$ as a realizability relation \Vdash_A

$\delta_A(p) = x$ as a representation $\delta_A: \tau \rightarrow A$

$p =_A q$ as a partial equivalence relation $=_A$ on τ
(A is isomorphic as a set to the set of equivalence classes of $=_A$)

We call such a triple $(A, |A|, \Vdash_A)$ a modest set,
equivalently a representation ($\delta_A: |A| \rightarrow A$),
equivalently a PER (partial equivalence relation) $(|A|, =_A)$.

Note:

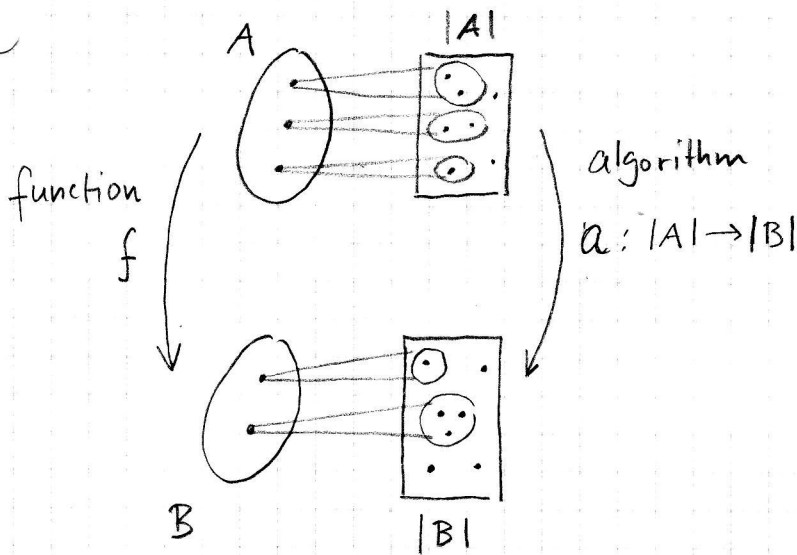
- 1) Every $x \in A$ must have at least one realizer
- 2) Not every $u \in \tau$ need realize something. Define:

$$\|A\| = \{u \in |A|; \exists x \in A. u \Vdash_A x\} \quad ?$$

$$= \text{dom } \delta_A$$

$$= \{u \in |A|; u =_A u\}.$$

Realized maps:



Must satisfy:

$$p \Vdash_A x \Rightarrow a(p) \Vdash_B f(x)$$

(equivalently:

$$f(\delta_A(p)) = \delta_B(a(p))$$

$$p \Vdash_A q \Rightarrow a(p) \Vdash_B a(q)$$

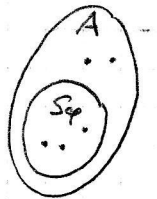
Modest sets and realized maps form a category, $\text{Mod}(P)$

This category supports an interpretation of first-order logic.

Realizability logic

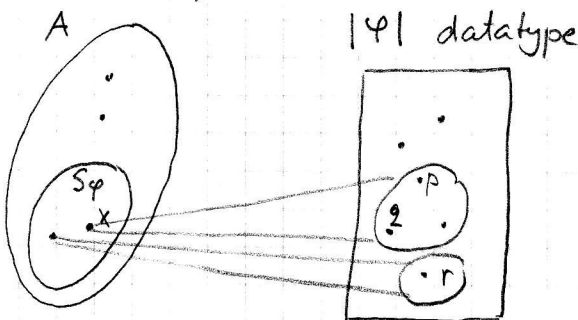
Classical view: a predicate $\varphi(x)$ on a set A is a subset of A ,

$$S_\varphi = \{x \in A \mid \varphi(x)\}$$



But in computing it may be important to "know computationally" why a given $x \in A$ satisfies $\varphi(x)$.

Realizability predicate:



$$p \Vdash \varphi(x)$$

"p is a computational witness (reason, realizer) which shows that $\varphi(x)$ holds"

Example:

1) "point x is inside solid S because it belongs to mesh cell $c \in S$ "

$$c \Vdash "x \text{ is inside } S"$$

A trivial case of realizability predicate:



$|\varphi| = \text{unit}$ (one-point datatype)



The computational reason does not say anything interesting

A predicate φ for which $|\varphi| = \text{unit}$ is stable (or classical). It has no computational content.

In general: $|\varphi|$ the datatype of values which represent the computational content of φ .

③ THEORIES AND SPECIFICATIONS

A first-order theory (e.g. the theory of real numbers) consists of:

- basic sets (sorts)
- basic constants and operations
- basic relations
- axioms expressed in first-order logic

We may also list some theorems which, although they follow from the axioms, are important to us and deserve to be mentioned.

A specification (e.g. for exact real arithmetic) consists of:

- abstract datatypes with PER's
 - value declarations
 - assertions about values
- } An implementation provides concrete datatypes and values which satisfy the assertions.

The realizability interpretation translates a theory to a specification.

- The logic of the theory is constructive (because the Law of Excluded Middle does not have a realizer!)
- The logic of assertions is classical (because realizability relation extracts the computational content and puts it in the datatype).

set A	\longrightarrow	type A (with a PER $=_A$)
constant $x \in A$	\longrightarrow	val $x : A$ ($x \in \ A\ $)
function $f : A \rightarrow B$	\longrightarrow	val $f : A \rightarrow B$
predicate φ on A	\longrightarrow	type $ \varphi $ (with a realizability relation $p \Vdash \varphi(x)$)
stable predicate φ on A	\longrightarrow	a subset of equivalence classes of $ A $.
axiom φ	\longrightarrow	val $a : \varphi $ + assertion " $a \Vdash \varphi$ "

Examples:

Theory of real numbers	\longrightarrow	Exact Real Arithmetic
Free join-semilattice	\longrightarrow	Finite Sets
Natural Numbers	\longrightarrow	Big natural number arithmetic
:		:

Note: The formulation of the theory influences the ingredients of the specification, but it does not enforce any restrictions on how the basic types and constants are implemented.

\Rightarrow Even if we use mathematically pleasing and natural axiomatizations, we still can choose efficient datatypes and algorithms to implement them.

E.g. even if natural numbers are axiomatized in terms of a successor function, we may still implement them in binary notation (and not, say as an inductive datatype $\text{nat} = \text{zero} \mid \text{Succ of nat}$).

(other examples are given by live demo)

† Natural numbers as the initial successor algebra

theory Natural -

thy

```
set nat
const zero : nat
const succ : nat -> nat
```

† The axiom of recursion states that nat is the initial
† successor algebra. Thus it takes as a parameter an
† arbitrary successor algebra $A = (s, x, f)$

```
axiom recursion [A : thy
                  set s
                  const x : s
                  const f : s -> s
                  end] -
somet (g : nat -> A.s) . (
  g zero = A.x and
  all (n : nat) . (g (succ n) = A.f (g n))
)
end
```

```
module type Natural =
sig
  type nat
  (** Assertion per_nat - PER(-nat-)
  *)

  val zero : nat
  (** Assertion zero_total - zero : ||nat||
  *)

  val succ : nat -> nat
  (** Assertion succ_total -
      all (x:nat, y:nat). x -nat- y -> succ x -nat- succ y
  *)
end

module Recursion : functor (A : sig
  type s
  (** Assertion per_s - PER(-s-)
  *)

  val x : s
  (** Assertion x_total - x : ||s||
  *)

  val f : s -> s
  (** Assertion f_total -
      all (y:s, v:s). y -s- v ->
      f y -s- f v
  *)
end) ->
sig
  val recursion : nat -> A.s
  (** Assertion recursion -
      (all (x:nat, y:nat). x -nat- y ->
        recursion x -A.s- recursion y) and
      recursion zero -A.s- A.x and
      (all (n:||nat||).
        recursion (succ n) -A.s- A.f (recursion n)) and
      (all (u:nat -> A.s).
        (all (x:nat, y:nat). x -nat- y ->
          u x -A.s- u y) -> u zero -A.s- A.x and
        (all (n:||nat||).
          u (succ n) -A.s- A.f (u n)) ->
        all (x:nat, y:nat). x -nat- y ->
          recursion x -A.s- u y)
  *)
end
```



```
const halfTo : N.net -> r

axiom halfTo_is_what_you_think_it_is -
  halfTo N.zero = one and
  all (n : N.net) . (halfTo (N.succ n) + halfTo (N.succ n) = halfTo n)

† The axiom of Cauchy completeness

axiom cauchy (a : N.net -> r) -
begin
  (all (n : N.net) . (abs (a (N.succ n) - a n) < halfTo n))
  ->
  some (x : r) . all (n : N.net) . (
    abs (x - a (N.succ n)) < halfTo n
  )
end

end
```

```

module type Real =
functor (N : Natural) ->
  sig
    type r
    (** Assertion per_r - PER(-r-)
    *)

    val zero : r
    (** Assertion zero_total - zero : ||r||
    *)

    val one : r
    (** Assertion one_total - one : ||r||
    *)

    val (+) : r -> r -> r
    (** Assertion (+)_total -
        all (x:r, y:r). x -r- y ->
        all (x':r, y':r). x' -r- y' -> x + x' -r- y + y'
    *)

    val ( * ) : r -> r -> r
    (** Assertion ( * )_total -
        all (x:r, y:r). x -r- y ->
        all (x':r, y':r). x' -r- y' -> x * x' -r- y * y'
    *)

    val (-) : r -> r -> r
    (** Assertion (-)_total -
        all (x:r, y:r). x -r- y ->
        all (x':r, y':r). x' -r- y' -> x - x' -r- y - y'
    *)

    val (^-) : r -> r
    (** Assertion (^-)_total - all (x:r, y:r). x -r- y -> (^-) x -r- (^-) y
    *)

    type r' = r
    (** Assertion r'_def_total (k:||r||) - k : ||r'|| <-> k : ||r|| and
        not (k -r- zero)

        Assertion r'_def_per (x:||r||, y:||r||) - x -r'- y <-> x -r- y
    *)

    val inv : r -> r
    (** Assertion inv_total - all (x:r, y:r). x -r'- y -> inv x -r'- inv y
    *)

    (** Assertion assoc_plus (x:||r||, y:||r||, z:||r||) -
        (x + y) + z -r- x + (y + z)
    *)

    (** Assertion comm_plus (x:||r||, y:||r||) - x + y -r- y + x
    *)

    (** Assertion zero_plus (x:||r||) - x + zero -r- zero
    *)

    (** Assertion inverse_plus (x:||r||) - x + (^-) x -r- zero
    *)

    (** Assertion assoc_mult (x:||r||, y:||r||, z:||r||) -
        (x * y) * z -r- x * (y * z)
    *)
  end

```

```

*)

(** Assertion comm_mult (x:|r|, y:|r|) - x * y -r- y * x
*)

(** Assertion one_mult (x:|r|) - x * one -r- x
*)

(** Assertion distributivity (x:|r|, y:|r|, z:|r|) -
    (x + y) * z -r- x * z + y * z
*)

(** Assertion field (x:|r|) - not (x -r- zero) ->
    x * inv (assure (not (x -r- zero)) in x) -r- one
*)

(** Assertion predicate_(<) -
    PREDICATE(<(<), r * r, lam t u.(pi0 t -r- pi0 u and pi1 t -r- pi1 u))
*)

type _leq = top
(** Assertion (<)_def (x:|r|, y:|r|) - x <- y <-> not (y < x)
*)

(** Assertion assymetry (x:|r|, y:|r|) - not (x < y and y < x)
*)

val linearity : r -> r -> r -> ['or0 | 'or1]
(** Assertion linearity (x:|r|, y:|r|, z:|r|) - x < y ->
    linearity x y z - 'or0 and x < z cor
    linearity x y z - 'or1 and z < y
*)

(** Assertion not_apart (x:|r|, y:|r|) -
    (all {u:['or0 | 'or1]}.
     not (u - 'or0 and x < y cor u - 'or1 and y < x)) -> x -r- y
*)

(** Assertion order_plus (x:|r|, y:|r|, z:|r|) - x < y ->
    x + z < y + z
*)

(** Assertion order_mult (x:|r|, y:|r|, z:|r|) - x < y and
    zero < x -> x * z < y * z
*)

(** Assertion order_positive (x:|r|, y:|r|) - zero < x and
    zero < y -> zero < x * y
*)

(** Assertion order_inverse (x:|r|) - zero < x ->
    zero < inv (assure (not (x -r- zero)) in x)
*)

val i : N.nat -> r
(** Assertion i_total -
    all (x:N.nat, y:N.nat). x -N.nat- y -> i x -r- i y
*)

```

```

*)

(** Assertion i_injects - i N.zero -r- zero and
    (all (n:||N.nat||). i (N.succ n) -r- one + i n)
*)

val archimedean : r -> N.nat
(** Assertion archimedean (x:||r||) - zero < x ->
    archimedean x : ||N.nat|| and x < i (archimedean x)
*)

val max : r -> r -> r
(** Assertion max_total -
    all (x:r, y:r). x -r- y ->
    all (x':r, y':r). x' -r- y' -> max x x' -r- max y y'
*)

val min : r -> r -> r
(** Assertion min_total -
    all (x:r, y:r). x -r- y ->
    all (x':r, y':r). x' -r- y' -> min x x' -r- min y y'
*)

(** Assertion max_is_lub (x:||r||, y:||r||) - x <- max x y and
    y <- max x y and
    (all (z:||r||). x <- z and y <- z -> max x y <- z)
*)

(** Assertion min_is_glb (x:||r||, y:||r||) - min x y <- x and
    min x y <- y and
    (all (z:||r||). z <- x and z <- y -> z <- min x y)
*)

val abs : r -> r
(** Assertion abs_def -
    all (x:r, y:r). x -r- y -> abs x -r- max y ((^-) y)
*)

val halfTo : N.nat -> r
(** Assertion halfTo_total -
    all (x:N.nat, y:N.nat). x -N.nat- y -> halfTo x -r- halfTo y
*)

(** Assertion halfTo_is_what_you_think_it_is -
    halfTo N.zero -r- one and
    (all (n:||N.nat||).
        halfTo (N.succ n) + halfTo (N.succ n) -r- halfTo n)
*)

val cauchy : (N.nat -> r) -> r
(** Assertion cauchy (a:||N.nat -> r||) -
    (all (n:||N.nat||). abs (a (N.succ n) - a n) < halfTo n) ->
    cauchy a : ||r|| and
    (all (n:||N.nat||). abs (cauchy a - a (N.succ n)) < halfTo n)
*)
end

```

† The theory of a group

theory Group -

thy

set g

const e : g

const (*) : g -> g -> g

const i : g -> g

implicit x, y, z : g

axiom unit x -

e * x = x and x * e = x

axiom associative x y z -

(x * y) * z = x * (y * z)

axiom inverse x -

x * (i x) = e and (i x) * x = e

end

```

module type Group =
sig
  type g
  (** Assertion per_g - PER(-g-)
  *)

  val e : g
  (** Assertion e_total - e : ||g||
  *)

  val ( * ) : g -> g -> g
  (** Assertion ( * )_total -
      all (x:g, y:g). x -g- y ->
      all (x':g, y':g). x' -g- y' -> x * x' -g- y * y'
  *)

  val i : g -> g
  (** Assertion i_total - all (x:g, y:g). x -g- y -> i x -g- i y
  *)

  (** Assertion unit (x:||g||) - e * x -g- x and x * e -g- x
  *)

  (** Assertion associative (x:||g||, y:||g||, z:||g||) -
      (x * y) * z -g- x * (y * z)
  *)

  (** Assertion inverse (x:||g||) - x * i x -g- e and i x * x -g- e
  *)
end

```



```
theory Category -
```

```
thy
```

```
set ob      † objects  
set mor     † morphisms
```

```
const id : ob -> mor  
const dom : mor -> ob  
const cod : mor -> ob
```

```
† The set of composable morphisms
```

```
set comp - { p : mor * mor | cod p.0 = dom p.1 }
```

```
† Composition
```

```
const cmp : comp -> mor
```

```
implicit a,b,c : ob  
implicit f,g,h : mor
```

```
axiom id_dom a - dom (id a) = a
```

```
axiom id_cod a - cod (id a) = a
```

```
axiom dom_comp f g -  
  dom (cmp (f,g)) = dom f
```

```
axiom cod_comp f g -  
  cod (cmp(f,g)) = cod g
```

```
axiom assoc f g h -  
  cmp(cmp (f,g),h) = cmp(f, cmp(g,h))
```

```
axiom id_neutral f -  
  f = cmp(id(dom f),f) and f = cmp(f,id(cod f))
```

```
end
```

```

module type Category =
sig
  type ob
  (** Assertion per_ob - PER(-ob-)
  *)

  type mor
  (** Assertion per_mor - PER(-mor-)
  *)

  val id : ob -> mor
  (** Assertion id_total - all (x:ob, y:ob). x -ob- y -> id x -mor- id y
  *)

  val dom : mor -> ob
  (** Assertion dom_total -
      all (x:mor, y:mor). x -mor- y -> dom x -ob- dom y
  *)

  val cod : mor -> ob
  (** Assertion cod_total -
      all (x:mor, y:mor). x -mor- y -> cod x -ob- cod y
  *)

  type comp = mor * mor
  (** Assertion comp_def_total (k:||mor * mor||) - k : ||comp|| <->
      pi0 k : ||mor|| and pil k : ||mor|| and
      cod (pi0 k) -ob- dom (pil k)

      Assertion comp_def_per (t:||mor * mor||, u:||mor * mor||) -
      t -comp- u <-> pi0 t -mor- pi0 u and pil t -mor- pil u
  *)

  val cmp : mor * mor -> mor
  (** Assertion cmp_total -
      all (x:mor * mor, y:mor * mor). x -comp- y -> cmp x -mor- cmp y
  *)

  (** Assertion id_dom (a:||ob||) - dom (id a) -ob- a
  *)

  (** Assertion id_cod (a:||ob||) - cod (id a) -ob- a
  *)

  (** Assertion dom_comp (f:||mor||, g:||mor||) -
      dom (cmp (assume (cod f -ob- dom g) in (f,g))) -ob- dom f
  *)

  (** Assertion cod_comp (f:||mor||, g:||mor||) -
      cod (cmp (assume (cod f -ob- dom g) in (f,g))) -ob- cod g
  *)

  (** Assertion assoc (f:||mor||, g:||mor||, h:||mor||) -
      cmp
      (assume (cod (cmp (assume (cod f -ob- dom g) in (f,g))) -ob-
      dom h)
      in (cmp (assume (cod f -ob- dom g) in (f,g)),h)) -mor-
      cmp
      (assume (cod f -ob- dom
      (cmp
      (assume (cod g -ob- dom h) in (g,h))))
      in (f,cmp (assume (cod g -ob- dom h) in (g,h))))
  *)

```

```
(** Assertion id_neutral (f:||mor||) -
    f -mor- cmp
        (assure (cod (id (dom f)) -ob- dom f)
            in (id (dom f),f)) and
    f -mor- cmp
        (assure (cod f -ob- dom (id (cod f)))
            in (f,id (cod f)))
*)
end
```

‡ The theory of a constructive field with an apartness relation
 ‡ (a relation which expresses how "unequal" two elements are).

theory Field -
 thy

set s

relation (<>) : s * s ‡ apartness

const zero : s

const one : s

const (+) : s -> s -> s

const (*) : s -> s -> s

const (-) : s -> s -> s

const (^-) : s -> s

set s' = { x : s | x <> zero }

const inv : s' -> s

implicit x, y, z : s

‡‡‡‡ Apartness axioms

axiom apart1 x y -
 not (x <> y) <-> x = y

axiom apart2 x y -
 x <> y -> y <> x

axiom apart3 x y z -
 x <> y -> (x <> z or y <> z)

‡‡‡‡ (s, zero, +, ^-) is a commutative group

axiom assoc_plus x y z -
 (x + y) + z = x + (y + z)

axiom comm_plus x y -
 x + y = y + x

axiom zero_plus x -
 x + zero = x

axiom inverse_plus x -
 x + (^- x) = zero

‡‡‡‡ (s, zero, one, +, ^-, *) is a commutative ring with unit

axiom assoc_mult x y z -
 (x * y) * z = x * (y * z)

axiom comm_mult x y -
 x * y = y * x

axiom one_mult x -
 x * one = x

axiom distributivity x y z -
 (x + y) * z = (x * z) + (y * z)

‡‡‡‡ s is a field

axiom field x -
 x <> zero -> x * (inv x) = one

end

```

module type Field =
sig
  type s
  (** Assertion per_s - PER(-s-)
  *)

  type _neq
  (** Assertion predicate_(<>) -
      PREDICATE((<>), s * s, lam t u.(pi0 t -s- pi0 u and pil t -s- pil u))
  *)

  val zero : s
  (** Assertion zero_total - zero : ||s||
  *)

  val one : s
  (** Assertion one_total - one : ||s||
  *)

  val (+) : s -> s -> s
  (** Assertion (+)_total -
      all (x:s, y:s). x -s- y ->
      all (x':s, y':s). x' -s- y' -> x + x' -s- y + y'
  *)

  val ( * ) : s -> s -> s
  (** Assertion ( * )_total -
      all (x:s, y:s). x -s- y ->
      all (x':s, y':s). x' -s- y' -> x * x' -s- y * y'
  *)

  val (-) : s -> s -> s
  (** Assertion (-)_total -
      all (x:s, y:s). x -s- y ->
      all (x':s, y':s). x' -s- y' -> x - x' -s- y - y'
  *)

  val (~-) : s -> s
  (** Assertion (~-)_total - all (x:s, y:s). x -s- y -> (~-) x -s- (~-) y
  *)

  type s' = s * _neq
  (** Assertion s'_def_total (k:||s * _neq||) - k : ||s'|| <=>
      pi0 k : ||s|| and pil k |- pi0 k <> zero

      Assertion s'_def_per (x:||s * _neq||, y:||s * _neq||) - x -s'- y <=>
      pi0 x -s- pi0 y
  *)

  val inv : s * _neq -> s
  (** Assertion inv_total -
      all (x:s * _neq, y:s * _neq). x -s'- y -> inv x -s- inv y
  *)

  (** Assertion apart1 (x:||s||, y:||s||) -
      ((all (r:_neq). not (r |- x <> y)) -> x -s- y) and
      (x -s- y -> all (r:_neq). not (r |- x <> y))
  *)

  val apart2 : s -> s -> _neq -> _neq
  (** Assertion apart2 (x:||s||, y:||s||) -
      all (r:_neq). r |- x <> y -> apart2 x y r |- y <> x
  *)

  val apart3 : s -> s -> s -> _neq -> ['or0 of _neq | 'or1 of _neq]
  (** Assertion apart3 (x:||s||, y:||s||, z:||s||) -
      all (r:_neq). r |- x <> y ->
  *)

```

```
(some (r':_neq).  apart3 x y z r - 'or0 r' and r' |- x <> z) cor
(some (r':_neq).  apart3 x y z r - 'or1 r' and r' |- y <> z)
```

```
*)
```

```
(** Assertion assoc_plus (x:|s|, y:|s|, z:|s|) -
   (x + y) + z -s- x + (y + z)
```

```
*)
```

```
(** Assertion comm_plus (x:|s|, y:|s|) - x + y -s- y + x
```

```
*)
```

```
(** Assertion zero_plus (x:|s|) - x + zero -s- zero
```

```
*)
```

```
(** Assertion inverse_plus (x:|s|) - x + (~-) x -s- zero
```

```
*)
```

```
(** Assertion assoc_mult (x:|s|, y:|s|, z:|s|) -
   (x * y) * z -s- x * (y * z)
```

```
*)
```

```
(** Assertion comm_mult (x:|s|, y:|s|) - x * y -s- y * x
```

```
*)
```

```
(** Assertion one_mult (x:|s|) - x * one -s- x
```

```
*)
```

```
(** Assertion distributivity (x:|s|, y:|s|, z:|s|) -
   (x + y) * z -s- x * z + y * z
```

```
*)
```

```
(** Assertion field (x:|s|) -
   all (r:_neq).  r |- x <> zero ->
   x * inv (assure r' : _neq . r' |- x <> zero in (x,r')) -s- one
```

```
*)
```

```
end
```